

Delegation Schedule CC - Commercial Activities Delegations

This Schedule CC is to be read in conjunction with the Delegations of Authority Policy, including the requirement that expenditure may only be incurred in accordance with an approved budget or other source of funds, and the Commercial Activities Guidelines (which defines what a commercial activity is). This Schedule does not apply to real estate transactions, which are dealt with in Schedule BB.

	Function	Holders and Limits	Document Reference and Guidance
Commercial Activities Generally			
CC1	Approve commercial activity as defined in the Commercial Activities Guidelines, other than real estate (which are dealt with in Schedule BB) or expressly dealt with elsewhere in this Schedule CC	Board of Trustees - No limit Board Executive Committee - \$20 million Vice-Chancellor and President - \$15 million Senior Deputy Vice-Chancellor and Provost - \$5 million Deputy Vice-Chancellor and Vice-President, Academic - \$5 million Deputy Vice-Chancellor and Vice-President, Research, Enterprise and International - \$5 million Vice-President, Finance and Resources - \$5 million Executive Director, Research Services - \$1 million Chief Estate and Commercial Officer - \$1 million	Commercial Activities Guidelines Administrative Notes Refer to the Board Executive Committee Charter.
CC2	Maintain University's Register of Commercial Activities and report to Board of Trustees and Committees as required	Vice-President, Finance and Resources	Commercial Activities Guidelines Legislation - University Act and University By-Law Administrative Notes Section 32C Western Sydney University Act.
Third Party Arrangements			
CC3	Approve third party arrangements with other education providers that require approval by the Tertiary Education and Quality Standards Agency	Board of Trustees - not delegated	Commercial Activities Guidelines Delegations of Authority Policy
Transactions Involving Companies and Other Entities			
CC4	Establish or acquire an interest in a controlled or associated entity	Board of Trustees - not delegated	Commercial Activities Guidelines Administrative Notes See Schedule EE for delegations related to research activities.

CC5	Acquire interest in an entity (other than a controlled or associated entity) as part of University's research activities (eg, participation in a cooperative research centre)	Deputy Vice-Chancellor and Vice-President, Research, Enterprise and International	
CC6	Approve sale or other dealing with shares in companies	Vice-Chancellor and President Vice-President, Finance and Resources	Commercial Activities Guidelines Administrative Notes This is subject to compliance with the University's approved investment strategy.
Establishment of Campuses or Similar			
CC7	Establish (including naming) campus or similar presence in Australia or overseas	Board of Trustees - not delegated	Commercial Activities Guidelines
Tenders and Consultancies			
CC8	Approve applications to tender to third parties (including government agencies) for supply of training, education, research or other professional services	Vice-Chancellor and President - \$15 million Senior Deputy Vice-Chancellor and Provost - \$5 million Deputy Vice-Chancellor and Vice-President, Academic - \$5 million Deputy Vice-Chancellor and Vice-President, Research, Enterprise and International - \$5 million Vice-President, Finance and Resources - \$5 million Vice-President, People and Advancement - \$5 million Dean - \$1 million	Commercial Activities Guidelines Administrative Notes These limits apply to the total value of the contract over its life, including any extension or renewal.
CC9	Approve and enter into arrangements with third parties to provide consultancy services	Vice-Chancellor and President - \$15 million Deputy Vice-Chancellor and Vice-President, Research, Enterprise and International - \$5 million Executive Director, Research Services - \$1 million	External Work Policy Administrative Notes Note 1: All consultancy arrangements involving School staff must first be approved by the relevant Dean. Note 2: Consultancy agreements must be in a form approved by the General Counsel.
Trading Agreement (Produce, Livestock, etc)			
CC10	Approve trading agreements, including determining prices for produce, livestock or similar goods and services through trading and other outlets	Chief Estate and Commercial Officer - \$1 million Category 6 - \$500,000 (within Finance and Resources Division) with prior endorsement of Vice-President, Finance and Resources or Executive Director, Estate and Commercial	Commercial Activities Guidelines Administrative Notes These limits apply to the total value of the contract over its life, including any extension or renewal.