

Delegation Schedule D - Delegations Relating to Commercial Activity

Function		Delegation Holder and Limits	Policy Reference and Guidance
Commercial Activities			
<p>In accordance with Part 1, Division 4 of the University of Western Sydney Act 1997, commercial activities of the University must be undertaken in accordance with Guidelines that have been approved by the Board of Trustees. “Commercial activity” is defined in the Guidelines under section 1. The Board has made these delegations in accordance with the Guidelines. Legal advice should be sought on any commercial contract where revenue or contribution is anticipated to exceed \$100K, as is provided for in the Guidelines processes. Legal advice should also be sought in relation to commercial contracts for a lesser amount if not in a standard contractual form conforming to existing legal advice.</p>			
Commercial Activities (Exceeding \$100K)			
D1	Enter into commercial agreements or arrangements for commercial activities as defined in the UWS Commercial Guidelines.	Category 10 - Conditional or Final Approval to a commercial activity: No limit BoT Standing Committee - Conditional or Final Approval to a commercial activity: \$2M Category 9 - Conditional or Final Approval to a commercial activity: \$1M Deputy Vice-Chancellor International and Development - Conditional or Final Approval to a commercial activity: \$500K Director, Innovation & Consulting - Conditional or final approval to a commercial activity: \$250K	Commercial Activities Guidelines The delegated threshold figure is the value over the life of the project
Commercial Activities (Up to \$100K)			
D2	Enter into commercial agreements or arrangements for commercial activities as defined in the UWS Commercial Guidelines.	Associate Director Innovation - \$100K Associate Director Consulting - \$100K	Commercial Activities Guidelines The delegated threshold figure is the value over the life of the project.
D3	Approve and enter into contract/consultancy type commercial arrangements involving staff of the University with external parties (i.e. University consulting).	Director, Innovation & Consulting - \$100K Associate Director Innovation - \$100K Associate Director Consulting - \$100K Category 7 - \$100K (subject to endorsement by IC) Category 5 - \$50K (subject to endorsement by IC)	Commercial Activities Guidelines

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D4	Approve trading agreements, including determining prices for produce, livestock or similar goods and services through trading and other outlets.	Director, Innovation & Consulting - \$100K (per agreement) Associate Director Innovation - \$100K (per agreement) Associate Director Consulting - \$100K (per agreement) Category 7 - \$100K (per agreement and subject to endorsement by IC) Category 5 - \$50K (per agreement and subject to endorsement by IC)	Commercial Activities Guidelines
Entities, Companies, Foundations			
D5	Approve the establishment of related or controlled entities, companies and foundations of the University.	Category 10 - Not Delegated	Commercial Activities Guidelines
D6	Act as the University's representative in accordance with s.250D of the Corporations Act 2001 with respect to the University's interest in any company.	Category 9 Deputy Vice-Chancellor Corporate Strategy and Services	
D7	Sale or otherwise dealing in shares held by the University in spin-out companies subject to compliance with investment requirements placed on the University.	Category 9 Deputy Vice-Chancellor Corporate Strategy and Services	